Attorney Docket # 502902-227PUS

Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re National Phase PCT Application of

Herbert BRUNNER et al.

Int'l PCT Serial No.: PCT/DE2004/002135

Int'l Filing Date::

24 September 2004

For:

High-Efficiency LED-Based Illumination

System with Improved Color Rendering

INFORMATION DISCLOSURE STATEMENT

Mail Stop **PCT** Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

SIR:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56 and in accordance with the practice under 37 C.F.R. §§ 1.97 and 1.98, the Examiner's attention is directed to the documents listed on the enclosed Form PTO SB/08A.

In accordance with 37 C.F.R §§1.97(g) and (h), the filing of this Information Disclosure Statement should not be construed as a representation that a search has been made or that information cited is, or is considered to be, material to patentability as defined in §1.56(b), or that any cited document listed or attached is (or constitutes) prior art. Unless otherwise indicated, the date of publication indicated for an item is taken from the face of the item and Applicant(s) reserve(s) the right to prove that the date of publication is in fact different.

10/574021 IAP5 Rec'd PCT/PTO 2 3 MAR 2006

Submitted herewith is a copy of the International Search Report issued in connection with International Application on which the present U.S. National Phase application is based.

Copies of the references cited in the International Search Report have been supplied by WIPO to the USPTO. Therefore, copies of the cited references in the International Search Report are not being provided herewith in accordance with current U.S. practice because they should be on file already at the USPTO.

It is respectfully requested that the Examiner return an initialed copy of the attached Form PTO SB/08A to confirm that the publications supplied by WIPO and listed therein have been considered and made of record.

Respectfully submitted, COHEN, PONTANI, LIEBERMAN & PAVANE

Bv

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Dated: March 23, 2006

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PTO/SB/08A (04-03)

Approved for use through 04/30/2003. OMB 0651-0031

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| Substitute for Form 1449/PTO | Complete if Known | | |
|------------------------------------|----------------------|-----------------|--|
| | Application Number | 10/574021 | |
| INFORMATION DISCLOSURE | Filing Date | 1.051 | |
| STATEMENT BY APPLICANT | First Named Inventor | Herbert BRUNNER | |
| (Use as many sheets as necessary) | Art Unit | | |
| (000 10 111111) 011000 10 11000111 | Examiner Name | | |
| Sheet 1 of 1 | Attorney Docket | 502902-227PUS | |
| | Number | | |

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| Examiner Initials* | Cite No. ' | Document Number Number-Kind-Code ^{2 (if known)} | Publication Date - MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | |
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| Examiner Signature | Date Considered | |

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

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